

Memo

Operating Requirement

No. 00- 03
September 14, 2000

University of California
Office of the President

Senior Vice President—
Business and Finance

Research Administration Office

Routing Copy

Return to: _____

CONTRACT AND GRANT OFFICERS

Subject: National Park Service Pacific West Region Master Cooperative Agreement No. H8530000045

This memo transmits a copy of the subject Master Cooperative Agreement for use by all campuses with the National Park Service Pacific West Region. The list of park units which may use this Master Agreement is provided in Attachment 02 of the Agreement. The term of the Agreement is September 12, 2000 to September 11, 2005 or to the end of the specified term of any Task Agreement (TA) issued under the Master Agreement.

Projects requested under this Agreement will be awarded to campuses directly with individual TAs in accordance with Article V. Campuses should review and negotiate the terms in the TAs if necessary as they will include TA-specific payment provisions, deliverables, etc. Any campus Extramural Funds Accounting Office which has not been established with the Automated Clearing House as a vendor for payments from the National Park Service will need to submit the *ACH Vendor/Miscellaneous Payment Enrollment Form*, Attachment 06.

Refer: Samuela A. Evans
(510) 987-9849
samuela.evans@ucop.edu

Subject: 22
Organization: F-495



David F. Mears
Director, Research Administration

COOPERATIVE AGREEMENT

between

National Park Service
Pacific West Region

and

The Regents of the
University of California

No. H8530000045

Effective through September 11, 2005



TABLE OF CONTENTS

<u>ARTICLE</u>	<u>TITLE</u>	<u>PAGE</u>
I	BACKGROUND, OBJECTIVES AND AUTHORITIES	1
II	STATEMENT OF WORK	2
III	TERM OF AGREEMENT	3
IV	KEY OFFICIALS	4
V	AWARD	4
VI	PRIOR APPROVAL	6
VII	REPORTS/DELIVERABLES	6
VIII	PROPERTY UTILIZATION AND DISPOSITION	6
IX	INDEMNIFICATION	6
X	TERMINATION	6
XI	GENERAL PROVISIONS	7
XII	ATTACHMENTS	8
XIII	AUTHORIZING SIGNATURES	9

COOPERATIVE AGREEMENT

between the

**NATIONAL PARK SERVICE
PACIFIC WEST REGION**

and

**THE REGENTS OF THE
UNIVERSITY OF CALIFORNIA**

This Cooperative Agreement is entered into between the U.S. Department of the Interior, National Park Service, (hereinafter referred to as "NPS") on behalf of national park units within the Pacific West Region, and participating campuses within the University of California (hereinafter referred to as "UC") system, to conduct educational and scientific research studies and programs.

ARTICLE I – BACKGROUND, OBJECTIVES AND AUTHORITIES

WHEREAS, the Act of August 25, 1916, as amended, 16 U.S.C. § 1 et seq., declares that the NPS shall promote and regulate the use of Federal areas known as national parks, monuments and reservations by such means and measures as to conform to the fundamental purposes of the parks, monuments and reservations, which is to preserve the natural and cultural resources of NPS units and to provide for public use and enjoyment of future generations; and

WHEREAS, the Outdoor Recreation Act, as amended, 16 U.S.C. § 460l-1(f), states that the NPS is authorized to sponsor, engage in, and assist in research, undertake studies through cooperative agreements, and cooperate with educational institutions in establishing educational programs and activities relating to outdoor recreation; and

WHEREAS, the UC is organized and maintained in the State of California to conduct a program of education, research and extension designed to investigate the use, conservation, and management of natural and cultural resources; and

WHEREAS, the UC has several disciplines and degree programs which prepare students for careers in environmental management and governmental services and which conduct research over the broad spectrum of natural, cultural, sociological and management sciences; and

WHEREAS, the NPS and the UC are mutually interested in and desire to cooperate in conducting studies of natural, cultural, biological and human resources and their management in natural areas and other components of the national park system; and

WHEREAS, both parties to this Agreement wish to cooperate with one another for their mutual benefit and for the general benefit of the people of the United States and future generations to enjoy the natural and cultural resources of the National Park System, and

WHEREAS, the Omnibus Consolidated Appropriations Act of 1997, Public Law 104-208, 16 U.S.C. § 1a-2j and 1g, authorize the NPS to enter into cooperative agreements with educational institutions for the public purpose of conducting scientific research and carrying out NPS programs.

NOW THEREFORE, in consideration of the above premises and in the interest of the mutual advantage in attainment of common objectives, the parties hereto desire to cooperate and mutually agree as follows:

ARTICLE II - STATEMENT OF WORK

This is a multi-park, multi-campus Agreement whereby activities or projects under this Agreement will be implemented individually and with more detail through the issuance of project orders referred to as Task Agreements (TA's). Each TA will have a separate work plan and budget developed cooperatively between the requesting NPS unit and the servicing UC Campus, as approved by the key officials. Cooperative activities will include the following:

A. The NPS agrees to:

1. Identify and prioritize studies leading to educational programs in the field of natural and cultural resources and provide undergraduate and graduate research opportunities in national park units.
2. Provide consultation services upon request to the faculty and students engaged in related studies without charge to the University.
3. Provide qualified personnel to conduct or supervise field investigations and research, data retrieval and analysis, and report preparation, including recommendations as specified in each individual TA.
4. Participate in planning, development, and execution of cooperative research, education, publications, public information demonstration projects, and other UC activities as appropriate.
5. Participate in meetings at least annually with UC for the purpose of evaluating progress on individual project tasks and establishing future cooperative efforts.
6. Comply with UC regulations regarding the use of campus services and facilities.
7. Reimburse UC for actual direct and indirect costs incurred in performance of work specified under each separate TA.

B. The UC agrees to:

1. Encourage appropriate faculty, staff and student cooperation in projects to provide professional advice and data to the NPS on natural and cultural research, resources management, and interpretation issues.
2. Obtain permits for collecting biological and environmental samples according to NPS regulations and management policies. (TA's that involve specimen collecting are subject to the curatorial requirements of the NPS.)
3. Provide funding and/or cost-share arrangements, when available, or cooperate in the preparation of research proposals submitted to other potential funding agencies and/or organizations.

4. Provide personnel, facilities, supplies, materials and equipment as available and necessary to perform individual project tasks.

C. Both parties agree:

1. To consider jointly, at such places and at such intervals as may be agreed upon by both parties, general programs of education, research, and instruction related to natural and cultural resources and their management in NPS units. This includes:
 - a. Development and analysis of effective tools, techniques, and data to address evolving threats to natural and cultural resources in parks;
 - b. Development of methods and guidelines for natural and cultural resource management and for the long term protection of these resources; and
 - c. Providing technical guidance, extension, and training related to gathering and disseminating information to be used in the solution of park resource management problems and research questions.
2. To develop specific projects for cooperative investigative activities, consultation, and field research related to natural and cultural resources and their management in NPS units.
3. That neither party will publish any project results of joint effort without consulting the other. This is not to be construed as applying to popular publication of previously published technical matter. Publication may be joint or independent as may be agreed upon.
4. To allow the result of any cooperative study to be used in partial fulfillment of requirements for advanced degrees if approved by the UC.
5. To be substantially involved in all phases of research, coordination, and publication and/or dissemination of final results. Substantial involvement shall extend to jointly preparing research proposals, special or permanent exhibition areas, and other items related to natural and cultural resources management and research. Field activities can be considered to be joint ventures with the NPS and UC contributing either primary direction and/or field support to accomplish project objectives. When available, the NPS shall provide the UC with equipment and seasonal housing and/or campsites to further facilitate field investigations.

ARTICLE III - TERM OF AGREEMENT/MODIFICATION

A. Unless earlier terminated pursuant to Article X, this Cooperative Agreement shall become effective on the date of final signature, and shall continue in full force and effect for a period not to exceed five years.

B. This Agreement may be modified by the written mutual agreement made by the signatory officials of ARTICLE XIII.

C. TA's issued during the effective period of this Agreement and not completed within that time shall be completed by the UC within the time specified in the TA, and the rights and obligations of the UC and the NPS shall be governed by the terms of the Cooperative Agreement to the same extent as if completed during the effective period of this Agreement.

ARTICLE IV - KEY OFFICIALS

A. The following are identified as key personnel considered essential to the administration of this Agreement:

1. For the NPS:

Kristi L. Swofford
Contracting Officer
Point Reyes National Seashore
Point Reyes, CA 94956
(415) 663-8522 ext. 230
(415) 663-8132 (fax)

2. For the UC:

Samuela Evans
Contract & Grant Officer
Office of the President, Research Administration
Regents of the University of California
1111 Franklin Street, 5th Floor
Oakland, CA 94607-5200
(510) 987-9849

B. Each individual TA issued under this Agreement shall designate the NPS Contracting Officer and Government Technical Representative (GTR), and the UC campus Grant Officer and Principal Investigator responsible for implementation and completion of the project. No changes in project key officials shall be made by either the NPS or the UC without prior written notification. The notification shall include a justification in sufficient detail to permit evaluation of the impacts of such a change on the statement of work outlined in ARTICLE II of the TA.

ARTICLE V - AWARD

A. General

This Agreement provides the terms and conditions under which the UC system and the NPS will collaborate in research and education programs. The UC shall engage in cooperative efforts with the NPS in accordance with ARTICLE II of this Agreement. Any NPS unit listed in Attachment 2 may directly enter into a cooperative project with any UC Campus listed in Attachment 3. Each project shall be implemented by a written TA, executed by the cooperating parties or their authorized servicing representatives. Each individual TA must contain the following information:

1. Project or activity title and TA number
2. Project Scope of Work, including task objectives, methods and study area
3. Statement of need and priority for the project
4. Period of activity and/or project schedule
5. Deliverables/final products, required Progress or Completion reports
6. Complete budget, (all direct and indirect costs, including travel, if any), method and amount of payment and fund sources, budget contributed by each party (including cost-share or in-kind services, if any), and total project amount
7. Names and positions of the individuals responsible for implementation
8. Publication expectations and authorship agreements, if applicable

(NPS Project Managers/GTR's shall submit the information stated above along with the funding document and completed SF424 to their servicing warranted Contracting Officer (with agreement authority) for review and issuance of the final TA.)

B. Financial Assistance

The commitment of funds in furtherance of this Agreement shall be as authorized by each individual TA.

C. Accounting Data/Appropriation

The specific allocation of funding will be identified in each individual TA. Nothing in this Agreement shall be construed as obligating the NPS or the UC to expend any funds, or as involving either in any contract or other obligation for the future payment of funds, in excess of appropriations authorized by law.

D. Authorizing Officials

Each individual TA issued under this Agreement shall be executed by the authorizing officials of the NPS and the UC as follows:

1. For the NPS: Park Superintendent
 Servicing Contracting Officer
2. For the UC: Campus Contract and Grant Officer

E. Reimbursement

Requests for reimbursement shall be made in accordance with the cost principles of OMB Circular A-21, as codified by 43 CFR 12. Payments will be made against an individual TA upon receipt and approval of a proper invoice or Standard Form 270, Request for Advance or Reimbursement, in an original and one copy to the Contracting Officer identified in the TA. Each claim for reimbursement shall contain the following information:

1. Campus name and complete mailing address
2. Date of request
3. Cooperative Agreement and TA number
4. Total amount requested
5. Other substantiating documentation or information as negotiated and specified in a subsequent TA

F. Payments

All payments by the NPS under this Agreement shall be made by electronic funds transfer (EFT) pursuant to the Debt Collection Improvement Act (DCIA) of 1996.

ARTICLE VI - PRIOR APPROVAL

Prior written agency approval shall be obtained for budgetary modifications to an individual TA as required by OMB Circular A-110, as codified by 43 CFR 12.

ARTICLE VII – REPORTS/DELIVERABLES

A. SF-269, Financial Status Report, shall be prepared and submitted annually, or upon completion of project, to the cognizant Contracting Officer identified in the TA, with a copy to the Contracting Officer identified in ARTICLE IV.

B. The NPS and the UC will mutually agree to an appropriate schedule of progress reports, and/or annual reports to be initiated under an individual TA. The schedule and type of other reports/deliverables shall be as set forth in each individual TA, as applicable.

C. Copies of all project correspondence and written notices between the NPS and the UC shall be sent to the cognizant Contracting Officer specified in the TA.

ARTICLE VIII - PROPERTY UTILIZATION AND DISPOSITION

Title, use, disposition, and management of property, equipment and supplies shall be in accordance with OMB Circular A-110, as codified by 43 CFR § 12.71 and 12.72.

ARTICLE IX – INDEMNIFICATION

The Regents of the UC (The Regents) shall defend, indemnify, and hold the NPS and their respective officers, agents, and employees harmless from and against any and all liability, claims for injury or damages arising out of the performance of this Agreement, but only in proportion to and to the extent such suits, liability, claims, demands, awards or causes of action are due to the negligence or willful misconduct of The Regents, its officers, agents, or employees in The Regents' participation in or performance of work under this Agreement.

ARTICLE X - TERMINATION

A. This Agreement may be mutually terminated by either party by providing sixty days written notice to the other party.

B. Termination of project work in progress shall be processed in accordance with OMB Circular A-110 as codified by 43 CFR § 12.83 and 12.84.

ARTICLE XI - GENERAL PROVISIONS

A. Non-Discrimination: All activities pursuant to this Agreement shall be in compliance with the requirements of Executive Order 11246, Title VI of the Civil Rights Act of 1967 (78 Stat. 252; 42 U.S.C. § 2000d et seq.); Title V, Section 504 of the Rehabilitation Act of 1973 (87 Stat. 394; 29 U.S.C. § 794); the Age Discrimination Act of 1975 (89 Stat. 728; 42 U.S.C. § 6101 et seq.); and with all other Federal laws and regulations prohibiting discrimination on grounds of race, color, national origin, handicap, religion or sex.

B. Lobbying Prohibition: Pursuant to 18 U.S.C. 1913, "Lobbying with Appropriated Moneys", no part of the money appropriated by any enactment of Congress shall, in the absence of express authorization by Congress, be used directly or indirectly to pay for any personal service, advertisement, telegram, telephone, letter, printed or written matter, or other device, intended or designed to influence in any manner a Member of Congress, to favor or oppose, by vote or otherwise, any legislation or appropriation by Congress, whether before or after the introduction of any bill or resolution proposing such legislation or appropriation; but this shall not prevent officers or employees of the United States or of its departments or agencies from communicating to Members of Congress on the request of any Member or to Congress, through the proper official channels, requests for legislation or appropriations which they deem necessary for the efficient conduct of the public business.

C. Public Information:

1. The UC shall not publicize or otherwise circulate, promotional material (such as advertisements, sales brochures, press releases, speeches, still and motion pictures, articles, manuscripts or other publications) which states or implies governmental, Departmental, Bureau, or government employee endorsement of a product, service, or position which the UC represents. No release of information relating to this Agreement may state or imply that the Government approves of the UC's work products, or considers the UC's work product to be superior to other products or services.

2. All information submitted for publication or other public releases of information regarding this Agreement or subsequent projects shall carry the following disclaimer:

The views and conclusions contained in this document are those of the authors and should not be interpreted as representing the opinions or policies of the U.S. Government. Mention of trade names or commercial products does not constitute their endorsement by the U.S. Government.

3. The UC must obtain prior Government approval for any public information releases concerning this Agreement which refer to the Department of the Interior or any Bureau or employee (by name or title). The specific text, layout, photographs, etc. of the proposed release must be submitted with the request for approval.
4. The UC further agrees to include this provision in a subaward to any subrecipient, except for a subaward to a State government, a local government, or to a federally recognized Indian tribal government.

D. The following General Provisions are hereby incorporated by reference:

1. OMB Circular A-110, as codified by 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-Profit Organizations".
2. OMB Circular A-21, "Cost Principles for Educational Institutions".
3. OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations".
4. 43 CFR Part 12, Subpart D, "Governmentwide Debarment and Suspension (Nonprocurement) and Governmentwide Requirements for Drug-Free Workplace (Grants)".
5. 43 CFR Part 12, Subpart E, "Buy American Requirements for Assistance Programs".
6. Federal Acquisition Regulation Clause 52.232-34, Payment by Electronic Funds Transfer-- Other Than Central Contractor Registration (May 1999).

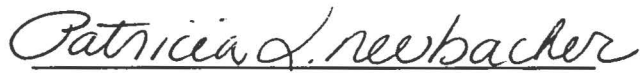
ARTICLE XII - ATTACHMENTS

- A. Attachment 1: Certification, form DI-2010, Certification Regarding Debarment, Suspension, and Other Responsibility Matter, Drug-Free Workplace Requirements and Lobbying, is required in accordance with the provisions of ARTICLE X and forms a part of this Agreement.
- B. Attachment 2: List of National Park Service units that may enter into projects under this Agreement.
- C. Attachment 3: List of University of California campuses that may enter into projects under this Agreement.
- D. Attachment 4: Standard Form 269A, Financial Status Report.
- E. Attachment 5: Standard Form 270, Request for Advance or Reimbursement.
- F. Attachment 6: Standard Form 3881, EFT Enrollment Form

ARTICLE XIII – AUTHORIZING SIGNATURES

IN WITNESS HEREOF, the parties hereto have signed their names and executed this Cooperative Agreement.

NATIONAL PARK SERVICE



John J. Reynolds
Director, Pacific West Region

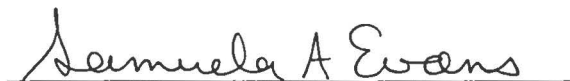

Date



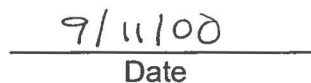
Kristi L. Swofford
Contracting Officer


Date

**THE REGENTS OF THE
UNIVERSITY OF CALIFORNIA**



Samuela Evans
Contract & Grant Officer
Office of the President


Date

U.S. Department of the Interior

**Certifications Regarding Debarment, Suspension and
Other Responsibility Matters, Drug-Free Workplace
Requirements and Lobbying**

Persons signing this form should refer to the regulations referenced below for complete instructions:

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions - The prospective primary participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. See below for language to be used or use this form certification and sign. (See Appendix A of Subpart D of 43 CFR Part 12.)

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions - (See Appendix B of Subpart D of 43 CFR Part 12.)

Certification Regarding Drug-Free Workplace Requirements - Alternate I. (Grantees Other Than Individuals) and Alternate II. (Grantees Who are Individuals) - (See Appendix C of Subpart D of 43 CFR Part 12)

Signature on this form provides for compliance with certification requirements under 43 CFR Parts 12 and 18. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of the Interior determines to award the covered transaction, grant, cooperative agreement or loan.

PART A: Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions

CHECK ☒ IF THIS CERTIFICATION IS FOR A PRIMARY COVERED TRANSACTION AND IS APPLICABLE.

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
 - (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
 - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
 - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

PART B: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions

CHECK ☐ IF THIS CERTIFICATION IS FOR A LOWER TIER COVERED TRANSACTION AND IS APPLICABLE.

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

PART C: Certification Regarding Drug-Free Workplace Requirements

CHECK ☐ IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS NOT AN INDIVIDUAL.

Alternate I. (Grantees Other Than Individuals)

A. The grantee certifies that it will or continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about—
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will —
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted —
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a) (b), (c), (d), (e) and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Check ☐ if there are workplaces on files that are not identified here.

PART D: Certification Regarding Drug-Free Workplace Requirements

CHECK ☐ IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS AN INDIVIDUAL.

Alternate II. (Grantees Who Are Individuals)

- (a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;
- (b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to the grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

PART E: Certification Regarding Lobbying
Certification for Contracts, Grants, Loans, and Cooperative Agreements

CHECK X IF CERTIFICATION IS FOR THE AWARD OF ANY OF THE FOLLOWING AND
THE AMOUNT EXCEEDS \$100,000: A FEDERAL GRANT OR COOPERATIVE AGREEMENT;
SUBCONTRACT, OR SUBGRANT UNDER THE GRANT OR COOPERATIVE AGREEMENT.

CHECK IF CERTIFICATION FOR THE AWARD OF A FEDERAL
LOAN EXCEEDING THE AMOUNT OF \$150,000, OR A SUBGRANT OR
SUBCONTRACT EXCEEDING \$100,000, UNDER THE LOAN.

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

As the authorized certifying official, I hereby certify that the above specified certifications are true.



SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

SAMUELA A. EVANS

CONTRACT AND GRANT OFFICER

TYPED NAME AND TITLE

9/11/00

DATE

PACIFIC WEST REGION NATIONAL PARK UNITS

AMERICAN MEMORIAL PARK
P. O. BOX 5198 CHRB
SAIPAN, MP 96950
670-234-7207
670-234-6698 FAX

BIG HOLE NAT'L BATTLEFIELD
P. O. BOX 237
WISDOM, MT 59761-0137
406-689-3155
406-689-3151 FAX

CABRILLO NAT'L MONUMENT
1800 CABRILLO MEMORIAL
DRIVE
SAN DIEGO, CA 92106-3601
619-557-5450
619-557-5469 FAX

CHANNEL ISLANDS NAT'L PARK
1901 SPINNAKER DRIVE
VENTURA, CA 93001
805-658-5700
805-658-5799 FAX

CITY OF ROCKS NAT'L RESERVE
P. O. BOX 169
ALMO, ID 83312
208-824-5519
208-824-5563 FAX

**COLUMBIA CASCADES UPPORT
OFFICE**
909 FIRST AVENUE
SEATTLE, WA 98104-1060
206-220-4020
206-220-4159 FAX

CRATER LAKE NATIONAL PARK
P. O. BOX 7
CRATER LAKE, OR 97604
541-594-2211
541-594-2299 FAX

**CRATERS OF THE MOON
NATIONAL MONUMENT**
P. O. BOX 29
ARCO, ID 83213
208-527-3257
208-527-3073 FAX

DEATH VALLEY NATIONAL PARK
DEATH VALLEY, CA 92328
760-786-2331
760-786-3283 FAX

**DEVILS POSTPILE NATIONAL
MONUMENT**
C/O SEQUOIA & KINGS CANYON
NATL PARKS
THREE RIVERS, CA 93271
760-934-2289

**EBEY'S LANDING NATIONAL
HISTORICAL RESERVE**
P. O. BOX 774
COUPEVILLE, WA 98239
360-678-6084
360-678-2246 FAX

**EUGENE O'NEILL NATIONAL
HISTORIC SITE**
P. O. BOX 280
DANVILLE, CA 94526
925-838-0249
925-838-9471 FAX

**FORT CLATSOP NATIONAL
MEMORIAL**
92343 FORT CLATSOP ROAD
ASTORIA, OR 97103
503-861-2471
503-861-2585 FAX

**FORT POINT NATIONAL
HISTORIC SITE**
C/O GOLDEN GATE NATIONAL
RECREATION AREA
P. O. BOX 29333, BUILDING 988
SAN FRANCISCO, CA 94129
415-556-1693
415-556-8474 FAX

**FORT VANCOUVER NAT'L
HISTORIC SITE**
612 E. RESERVE STREET
VANCOUVER, WA 98661
360-696-7655
360-696-7657 FAX

**GOLDEN GATE NATIONAL
RECREATION AREA**
BUILDING 201, FORT MASON
SAN FRANCISCO, CA 94123
415-561-4620
415-561-4610 FAX

GREAT BASIN NATIONAL PARK
BAKER, NV 89311
775-234-7331
775-234-7269 FAX

**HAGERMAN FOSSIL BEDS NAT'L
MONUMENT**
P. O. BOX 570
HAGERMAN, ID 83332
208-837-4793
208-837-4857 FAX

HALEAKALA NATIONAL PARK
P. O. BOX 369
MAKAWAO, MAUI, HI 96768
808-572-4400
808-572-1304 FAX

**HAWAII VOLCANOES NATIONAL
PARK**
P. O. BOX 52
HAWAII NATIONAL PARK, HI
96718
808-985-6000
808-967-8186 FAX

**JOHN DAY FOSSIL BEDS NAT'L
MONUMENT**
HC 82 BOX 126
KIMBERLY, OR 97848-9701
541-987-2333
541-987-2336 FAX

**JOHN MUIR NATIONAL HISTORIC
SITE**
4202 ALHAMBRA AVENUE
MARTINEZ, CA 94553
925-228-8860
925-228-8192 FAX

JOSHUA TREE NATIONAL PARK
74485 NATIONAL PARK DRIVE
TWENTYNINE PALMS, CA 92277
760-367-5500
760-367-6392 FAX

**JUAN BAUTISTA de ANZA
NATIONAL HISTORIC TRAIL**
600 HARRISON STREET, STE 600
SAN FRANCISCO, CA 94107-1372
415-427-1438
415-744-4043 FAX

**KALAUPAPA NATIONAL
HISTORICAL PARK**
P. O. BOX 2222
KALAUPAPA, HI 96742
808-567-6802
808-567-6729 FAX

KALOKO-HONOKOHAU NHP
73-4786 KANALANI STREET, #14
KAILUA-KONA, HI 96740
808-329-6881
808-329-2597 FAX

KINGS CANYON NATIONAL PARK
C/O SEQUOIA & KINGS CANYON
NATL PARKS
THREE RIVERS, CA 93271
559-565-3341
559-565-3730 FAX

**KLONDIKE GOLD RUSH NAT'L
HISTORIC PARK**
117 S. MAIN STREET
SEATTLE, WA 98104
206-553-7220
206-553-0614 FAX

PACIFIC WEST REGION NATIONAL PARK UNITS

**LAKE MEAD NATIONAL
RECREATION AREA**
601 NEVADA HIGHWAY
BOULDER CITY, NV 89005
702-293-8920
702-293-8936 FAX

**LAKE ROOSEVELT NAT'L
RECREATION AREA**
1008 CREST DRIVE
COULEE DAM, WA 99116
509-633-9441
509-633-9332 FAX

LASSEN VOLCANIC NAT'L PARK
P. O. BOX 100
MINERAL, CA 96063-0100
530-595-4444
530-595-3262 FAX

LAVA BEDS NAT'L MONUMENT
P. O. BOX 867
TULELAKE, CA 96134
530-667-2282
530-667-2737 FAX

**MANZANAR NATIONAL HISTORIC
SITE**
P. O. BOX 426
INDEPENDENCE, CA 93526-0426
760-878-2932
760-878-2949 FAX

MOJAVE NATIONAL PRESERVE
222 E. MAIN STREET #202
BARSTOW, CA 92311
760-255-8801
760-255-8809 FAX

MOUNT RAINIER NAT'L PARK
TAHOMA WOODS, STAR ROUTE
ASHFORD, WA 98304
360-569-2211
360-569-2170 FAX

**MUIR WOODS NATIONAL
MONUMENT**
C/O GOLDEN GATE NAT'L
RECREATION AREA
MILL VALLEY, CA 94941
415-388-2596
415-389-6957 FAX

**NATIONAL PARK OF AMERICAN
SAMOA**
PAGO PAGO
AMERICAN SAMOA 96799
011-684-633-7082
011-684-633-7085 FAX

**NEZ PERCE NATIONAL
HISTORICAL PARK**
ROUTE 1 BOX 100
SPALDING, ID 83540-9715
208-843-2261
208-843-2124 FAX

**NORTH CASCADES NATIONAL
PARK**
2105 HIGHWAY 20
SEDRO WOOLLEY, WA 98284
360-856-5700
360-856-1934 FAX

OLYMPIC NATIONAL PARK
600 E. PARK AVENUE
PORT ANGELES, WA 98362
360-452-4501
360-452-0335 FAX

**OREGON CAVES NATIONAL
MONUMENT**
CAVES HIGHWAY
CAVE JUNCTION, OR 97523
541-592-2100
541-592-3981 FAX

**PACIFIC GREAT BASIN SUPPORT
OFFICE**
600 HARRISON STREET, STE 600
SAN FRANCISCO, CA 94107-1372
415-427-1337
415-744-4043 FAX

**PACIFIC ISLANDS SUPPORT
OFFICE**
300 ALA MOANA BLVD., RM 6-226
BOX 50165
HONOLULU, HI 96850
808-541-2693
808-541-3696 FAX

**PINNACLES NATIONAL
MONUMENT**
PAICINES, CA 95043
831-389-4485
831-389-4489 FAX

**POINT REYES NATIONAL
SEASHORE**
POINT REYES, CA 94956
415-663-8522, x230
415-663-8132 FAX

PRESIDIO OF SAN FRANCISCO
P. O. BOX 29022
SAN FRANCISCO, CA 94129-0022
415-561-4401
415-561-4400 FAX

PU'UHONUA O HONAUNAU NHP
P. O. BOX 129
KONA, HI 96726
808-328-2326
808-328-9485 FAX

**PU'UKOHOLA HEIAU NAT'L
HISTORIC SITE**
P. O. BOX 44340
KAWAIIHAE, HI 96743
808-882-7218
808-882-1215 FAX

**REDWOOD NAT'L & STATE
PARKS**
1111 SECOND STREET
CRESCENT CITY, CA 95531
707-464-6101
707-464-1812 FAX

SAN FRANCISCO MARITIME NHP
BLDG E, LOWER FORT MASON
SAN FRANCISCO, CA 94123
415-556-1659
415-556-1624 FAX

**SAN JUAN ISLAND NATIONAL
HISTORICAL PARK**
P. O. BOX 429
FRIDAY HARBOR, WA 98250
360-378-2240
360-378-2615 FAX

**SANTA MONICA MOUNTAINS
NATIONAL RECREATION AREA**
401 WEST HILLCREST DRIVE
THOUSAND OAKS, CA 91360-
4223
805-370-2300
805-370-1850 FAX

**SEQUOIA & KINGS CANYON
NATIONAL PARKS**
THREE RIVERS, CA 93271
559-565-3341
559-565-3730 FAX

USS ARIZONA MEMORIAL
1 ARIZONA MEMORIAL PLACE
HONOLULU, HI 96818
808-422-2771
808-483-8608 FAX

WAR IN THE PACIFIC NHP
115 MARINE DR, HALODA BLDG
ASAN, GUAM 96922
671-477-9362
671-472-7241 FAX

**WHISKEYTOWN-SHASTA-
TRINITY NRA**
P. O. BOX 188
WHISKEYTOWN, CA 96095
530-242-3400
530-246-5154 FAX

**WHITMAN MISSION NAT'L
HISTORIC SITE**
328 WHITMAN MISSION ROAD
WALLA WALLA, WA 99362-9699
509-522-6360
509-522-6355 FAX

YOSEMITE NATIONAL PARK
P. O. BOX 577
YOSEMITE, CA 95389
209-372-0200
209-372-0220 FAX

UNIVERSITY OF CALIFORNIA COOPERATING UNITS

CAMPUS OFFICES:

Sponsored Projects Office
336 Sproul Hall
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Wilshire Center, Suite 1200
MC 140648
University of California
Los Angeles, CA 90024
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Fax: (310) 794-0631

Contracts and Grants Office
Scripps Institution of Oceanography
UC San Diego, 0210
La Jolla, CA 92093-0210
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1156 High Street
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410 Mrak Hall
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Fax: (530) 752-5432

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1126 Library South
University of California
Riverside, CA 92521
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Fax: (909) 787-4483

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3333 California Street, Suite 11
University of California
San Francisco, CA 94143-0962
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ANR, Office of the President
300 Lakeside Dr., 6th Floor
Oakland, CA 94612-3550
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160 Administration Building
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Irvine, CA 92717
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Fax: (949) 824-2094

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9500 Gilman Drive
University of California
La Jolla, CA 92093-0934
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University of California
Santa Barbara, CA 93106
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1111 Franklin St., 5th Floor
Oakland, CA 94607-5200
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c/o Office of the President
University of California
1111 Franklin St., 5th Floor
Oakland, CA 94607-5200
Phone: (510) 987-9838
Fax: (510) 835-3705

**EXTRAMURAL FUNDS
MANAGERS - ACCOUNTING
OFFICES:**

Extramural Fund Manager
Extramural Funds & Fin Sys
P.O. Box 0812, MCB 425
University of California
San Francisco, CA 94143

Assist. Accounting Officer
Contract & Grant Accounting
2650 Berkeley Place
University of California
Irvine, CA 92717

Manager, Extramural Funds
Accounting
481 University Hall
University of California
Berkeley, CA 94720

Manager, Extramural Funds
Accounting
3201 Student Affairs Building
University of California
Santa Barbara, CA 93106

Sr. Accountant, Accounting Office
1201 University Ave., Ste I-208
University of California
Riverside, CA 92521

Director, Extramural Fund
Management
University of California, L.A.
Wilshire Center, 5th Floor
Los Angeles, CA 90024-1400

Extramural Funds Manager
UC Davis
Accounting Office
232A University Services Building
Davis, CA 95616

Manager, Extramural Funds
109 Applied Science Building
University of California
Santa Cruz, CA 95064

Manager, Extramural Fund
Accounting
UC San Diego
Accounting Office
Torrey Pines Center, N-0954
La Jolla, CA 92090

FINANCIAL STATUS REPORT*(Short Form)**(Follow instructions on the back)*

1. Federal Agency and Organizational Element to Which Report is Submitted		2. Federal Grant or Other Identifying Number Assigned By Federal Agency		OMB Approval No. 0348-0038	Page of pages
3. Recipient Organization (Name and complete address, including ZIP code)					
4. Employer Identification Number		5. Recipient Account Number or Identifying Number		6. Final Report <input type="checkbox"/> Yes <input type="checkbox"/> No	
7. Basis <input type="checkbox"/> Cash <input type="checkbox"/> Accrual					
8. Funding/Grant Period (See instructions) From: (Month, Day, Year)		To: (Month, Day, Year)		9. Period Covered by this Report From: (Month, Day, Year)	
				To: (Month, Day, Year)	
10. Transactions:		I Previously Reported	II This Period	III Cumulative	
a. Total outlays					
b. Recipient share of outlays					
c. Federal share of outlays					
d. Total unliquidated obligations					
e. Recipient share of unliquidated obligations					
f. Federal share of unliquidated obligations					
g. Total Federal share(Sum of lines c and f)					
h. Total Federal funds authorized for this funding period					
i. Unobligated balance of Federal funds(Line h minus line g)					
11. Indirect Expense	a. Type of Rate(Place "X" in appropriate box) <input type="checkbox"/> Provisional <input type="checkbox"/> Predetermined <input type="checkbox"/> Final <input type="checkbox"/> Fixed				
	b. Rate	c. Base	d. Total Amount	e. Federal Share	
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.					
13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.					
Typed or Printed Name and Title				Telephone (Area code, number and extension)	
Signature of Authorized Certifying Official				Date Report Submitted	

REQUEST FOR ADVANCE OR REIMBURSEMENT

(See instructions on back)

OMB APPROVAL NO.

0348-0004

PAGE

OF

PAGES

1.
TYPE OF
PAYMENT
REQUESTED

a. "X" one or both boxes

☐ ADVANCE ☐ REIMBURSE-
MENT

b. "X" the applicable box

☐ FINAL ☐ PARTIAL

2. BASIS OF REQUEST

☐ CASH☐ ACCRUAL3. FEDERAL SPONSORING AGENCY AND ORGANIZATIONAL ELEMENT TO
WHICH THIS REPORT IS SUBMITTED4. FEDERAL GRANT OR OTHER
IDENTIFYING NUMBER ASSIGNED
BY FEDERAL AGENCY5. PARTIAL PAYMENT REQUEST
NUMBER FOR THIS REQUEST6. EMPLOYER IDENTIFICATION
NUMBER7. RECIPIENT'S ACCOUNT NUMBER
OR IDENTIFYING NUMBER

8. PERIOD COVERED BY THIS REQUEST

FROM (month, day, year)

TO (month, day, year)

9. RECIPIENT ORGANIZATION

Name:

Number
and Street:City, State
and ZIP Code:

10. PAYEE (Where check is to be sent if different than item 9)

Name:

Number
and Street:City, State
and ZIP Code:

11. COMPUTATION OF AMOUNT OF REIMBURSEMENTS/ADVANCES REQUESTED

PROGRAMS/FUNCTIONS/ACTIVITIES ►	(a)	(b)	(c)	TOTAL
a. Total program outlays to date (As of date)	\$	\$	\$	\$
b. Less: Cumulative program income				
c. Net program outlays (Line a minus line b)				
d. Estimated net cash outlays for advance period				
e. Total (Sum of lines c & d)				
f. Non-Federal share of amount on line e				
g. Federal share of amount on line e				
h. Federal payments previously requested				
i. Federal share now requested (Line g minus line h)				
j. Advances required by month, when requested by Federal grantor agency for use in making prescheduled advances	1st month			
	2nd month			
	3rd month			

12. ALTERNATE COMPUTATION FOR ADVANCES ONLY

a. Estimated Federal cash outlays that will be made during period covered by the advance	\$
b. Less: Estimated balance of Federal cash on hand as of beginning of advance period	
c. Amount requested (Line a minus line b)	\$

AUTHORIZED FOR LOCAL REPRODUCTION

(Continued on Reverse)

STANDARD FORM 270 (Rev. 7-97)

Prescribed by OMB Circulars A-102 and A-110

**ACH VENDOR/MISCELLANEOUS PAYMENT
ENROLLMENT FORM**

Attachment 06

OMB No. 1510-056
Expiration Date 06/30/93

This form is used for Automated Clearing House (ACH) payments with an addendum record that contains payment-related information processed through the Vendor Express Program. Recipients of these payments should bring this information to the attention of their financial institution when presenting this form for completion.

PRIVACY ACT STATEMENT

The following information is provided to comply with the Privacy Act of 1974 (P.L. 93—579). All information collected on this form is required under the provisions of 31 U.S.C. 332 and 31 CFR 210. This information will be used by the Treasury Department to transmit payment data, by electronic means to vendor's financial institution. Failure to provide the requested information may delay or prevent the receipt of payments through the Automated Clearing House Payment System.

AGENCY INFORMATION

FEDERAL PROGRAM AGENCY: USDI, National Park Service		
AGENCY IDENTIFIER:	AGENCY LOCATION CODE (ALC): 14-10-0099	
ADDRESS: Point Reyes National Seashore, Pt. Reyes, CA 94956		
CONTACT PERSON NAME: Kris Swofford		TELEPHONE NUMBER: (415) 663-8522 x230
ADDITIONAL INFORMATION:		

PAYEE/COMPANY INFORMATION

NAME: NO.	SSN NO. OR TAXPAYER ID
ADDRESS:	
CONTACT PERSON NAME:	TELEPHONE NUMBER:

FINANCIAL INSTITUTION INFORMATION

NAME:	
ADDRESS:	
ACH COORDINATOR NAME:	TELEPHONE NUMBER:
NINE-DIGIT ROUTING TRANSIT NUMBER: _____	
DEPOSITOR ACCOUNT TITLE:	
DEPOSITOR ACCOUNT NUMBER:	ACH FORMAT: <input type="checkbox"/> CCD+ <input type="checkbox"/> CTX
TYPE OF ACCOUNT: <input type="checkbox"/> CHECKING <input type="checkbox"/> SAVING <input type="checkbox"/> LOCKBOX	
SIGNATURE AND TITLE OF AUTHORIZED OFFICIAL: <small>(Could be same as ACH Coordinator)</small>	TELEPHONE NUMBER: